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1623
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PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Elise D. Bray

Applicant : J. David Rozzell, Jr.
Application No.: 10/039,952
Filed : October 24, 2001
Title : METHOD FOR CHEMICAL
TRANSFORMATION USING A MUTATED
ENZYME
Grp./Div. : 1623
Examiner : Not yet assigned
Docket No. : 47418/KMO/B583

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
July 12, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. To the best of the undersigned's knowledge this filing is being made prior to the mailing of a first Office Action on the merits.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM

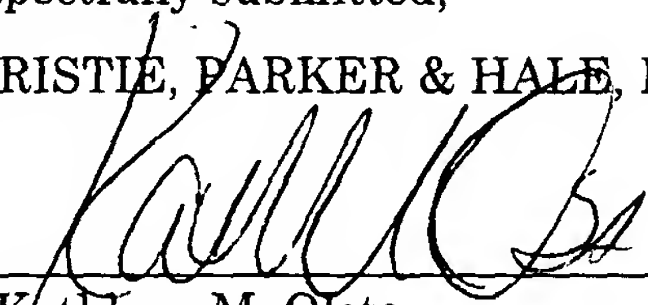
Application No. 10/039,952

PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


Kathleen M. Olster

Reg. No. 42,052

626/795-9900

KMO/edh

Enclosures: PTO/SB/08A/B, w/references

EDB PAS447945.1-* 7/12/02 7:52 AM

FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number

47418/KMO/B583

Application Number

10/039,952

Filing Date

October 24, 2001

Applicant(s)

J. David Rozzell, Jr.

Group Art Unit

1623

Examiner Name

Not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,518,692	05-21-1985	Rozzell
		4,710,467	12-01-1987	Wood, et al.
		4,745,059	05-17-1988	Voelskow, et al.
		5,316,943	05-31-1994	Kidman, et al.
		5,753,470	05-19-1998	Then, et al.
		5,854,035	12-29-1998	Stoyan, et al.
		US6,255,092B1	07-03-2001	Kojima, et al.

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER SIGNATURE	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

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